

Henkel

Henkel Corporation
Patent Department

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TO:

Name: Asst. Commissioner for Patents

Location: Office of Initial Patent Exam.

Fax No.: 703-746-9195

FROM:

Name: Glenn E.J. Murphy

Location: 2500 Renaissance Blvd
Gulph Mills, PA

Date: November 14, 2002

NUMBER OF PAGES 11 INCLUDING THIS COVER PAGE.

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Application of Kohlstadt, et al.

Docket No. H 4186 PCT/US

Serial No. 10/030,267

Art Unit: 1712

Request for Correction of Filing Receipt (2 pages)

Copy of Filing Receipt (2 pages)

Copy of Executed Declaration (6 pages)

PATENT
Docket No. H 4186 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Kohlstadt et al..

Serial No. 10/030,267 Examiner: To be assigned

Filed: July 1, 2002 Art Unit: 1712

Title: METHOD AND SYSTEM FOR THE PRODUCTION OF
POLYURETHANE HOT-MELT TYPE ADHESIVES

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at 703-746-9195.

Nov. 14, 2002
Date

Amy Alleborn
Signature of Certifier

Amy Alleborn
Typed or printed name of certifier

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

We hereby request correction of the Filing Receipt for Serial
No. 10/030,267.

Please correct the country for the second inventor Andrew
Nixon to read:

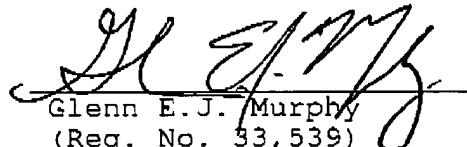
Germany

Serial No. 10/030,267
Docket No. H 4186 PCT/US

It appears from our file that the error is the fault of the patent office; thus, Applicants believe that no fee is due. However, the Assistant Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 01-1250.

We thank you for your assistance in this matter.

Respectfully submitted,


Glenn E.J. Murphy
(Reg. No. 33,539)
Attorney for Applicants
(610) 278-4926

Henkel Corporation
Patent Law Department
2500 Renaissance Blvd., Suite 200
Gulph Mills, PA 19406
aa/Encl.

1. Filing Receipt (copy)
2. Executed Declaration (copy)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/030,267	07/01/2002	1712	1150	H 4186 PCT/US	4	20	1

00423
HENKEL CORPORATION
2500 RENAISSANCE BLVD
STE 200
GULPH MILLS, PA 19406

RECEIVED

AUG 16 2002

HENKEL LAW DEPT.

CONFIRMATION NO. 9026

FILING RECEIPT



OC00000008597962

Date Mailed: 08/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hans-Peter Kohlstadt, Velbert, GERMANY;

Andrew Nixon, Meerbusch, UNITED KINGDOM, *Germany*

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/05805 06/23/2000

Foreign Applications

GERMANY 199 29 820.3 07/01/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for the production of polyurethane hot-melt type adhesives

Preliminary Class

525

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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"Express Mail" mailing label number _____

PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket	H 4186 PCT/US																																																	
		Number																																																		
		First Named Inventor	KOHLSTADT, Hans-Peter																																																	
		COMPLETE IF KNOWN																																																		
		Application Number	10/030,267																																																	
		Filing Date																																																		
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		Group Art Unit																																																		
		Examiner Name																																																		
<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing																																																				
<p>As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <div style="border: 1px solid black; padding: 5px; text-align: center;">METHOD AND SYSTEM FOR THE PRODUCTION OF POLYURETHANE HOT-MELT TYPE ADHESIVES</div> <p style="text-align: center;">(Title of the invention)</p> <p>the specification of which <input type="checkbox"/> is attached hereto OR <input checked="" type="checkbox"/> was filed on (MM/DD/YYYY) 6/23/2000 as United States Application Number or PCT International Application Number PCT/EP00/05805 and was amended on (MM/DD/YYYY) _____ (if applicable).</p> <p>I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.</p> <table border="1"><thead><tr><th rowspan="2">Prior Foreign Application Number(s)</th><th rowspan="2">Country</th><th rowspan="2">Foreign Filing Date (MM/DD/YYYY)</th><th colspan="2">Priority Not Claimed</th><th colspan="2">Certified Copy Attached?</th></tr><tr><th>YES</th><th>NO</th><th>YES</th><th>NO</th></tr></thead><tbody><tr><td>199 29 820.3</td><td>Germany</td><td>7/1/1999</td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr></tbody></table> <p><input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.</p> <p>I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.</p> <table border="1"><thead><tr><th>Application Number(s)</th><th>Filing Date (MM/DD/YYYY)</th><th>Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</th></tr></thead><tbody><tr><td></td><td></td><td><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td></tr></tbody></table>					Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed		Certified Copy Attached?		YES	NO	YES	NO	199 29 820.3	Germany	7/1/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.			<input type="checkbox"/>			
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H 4186 PCT/US

DECLARATION**Pag 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365 of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP00/05805	6/23/2000	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

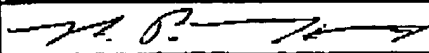
Name	Registration Number	Name	Registration Number
Wayne C. Jaeschke	21,062		
Glenn E. J. Murphy	33,539		
Stephen D. Harper	33,243		
Kimberly R. Hild	39,224		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label 00423 OR ☐ Fill in correspondence address below

Name	Glenn E. J. Murphy						
Address	Henkel Corporation						
Address	2500 Renaissance Blvd, Suite 200						
City	Gulph Mills	State	PA	Zip	19406		
Country	USA	Telephone	610-278-4926	Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Hans-Peter	Middle Initial		Family Name	KOHLSTADT	Suffix e.g. Jr.	
Inventor's Signature					Date	23.02.2002	
Residence: City	Velbert	State		Country	Germany	Citizenship	Germany
Post Office Address	Virchowstrasse 16a						
Post Office Address							
City	42549 Velbert	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

(*) inside this box +

H 4186 PCT/US

DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Andrew			Middle Initial		Family Name	NIXON			Suffix e.g. Jr.			
Inventor's Signature							Date						
Residence: City	Meerbusch			State		Country	Germany			Citizenship	United Kingdom		
Post Office Address	Azaleenweg 28												
Post Office Address													
City	40870 Meerbusch			State		Zip		Country	Germany			Applicant Authority	
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature							Date						
Residence: City				State		Country				Citizenship			
Post Office Address													
Post Office Address													
City				State		Zip		Country				Applicant Authority	
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature							Date						
Residence: City				State		Country				Citizenship			
Post Office Address													
Post Office Address													
City				State		Zip		Country				Applicant Authority	
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature							Date						
Residence: City				State		Country				Citizenship			
Post Office Address													
Post Office Address													
City				State		Zip		Country				Applicant Authority	
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature							Date						
Residence: City				State		Country				Citizenship			
Post Office Address													
Post Office Address													
City				State		Zip		Country				Applicant Authority	
<input type="checkbox"/> Additional Inventors are being named on supplemental sheet(s) attached hereto													

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PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 5/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	H 4186 PCT/US	
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		First Named Inventor	KOHLSTADT, Hans-Peter	
		COMPLETE IF KNOWN		
		Application Number	10/030,267	
		Filing Date		
		Group Art Unit		
<input type="checkbox"/> Declaration Submitted with Initial Filing		OR	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	
As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:				
METHOD AND SYSTEM FOR THE PRODUCTION OF POLYURETHANE HOT-MELT TYPE ADHESIVES				
the specification of which <input type="checkbox"/> is attached hereto OR <input checked="" type="checkbox"/> was filed on (MM/DD/YYYY) 6/23/2000 as United States Application Number or PCT International Application Number PCT/EP00/05805 and was amended on (MM/DD/YYYY) _____ (if applicable).				
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.				
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.				
I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(e) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.				
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
199 29 820.3	Germany	7/1/1999	<input type="checkbox"/>	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.				
I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.				
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		<input type="checkbox"/>		

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
Wayne C. Jaeschke	21,062		
Glenn E. J. Murphy	33,539		
Stephen D. Harper	33,243		
Kimberly R. Hild	39,224		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label 00423 OR ☐ Fill in correspondence address below

Name	Glenn E. J. Murphy						
Address	Henkel Corporation						
Address	2500 Renaissance Blvd, Suite 200						
City	Gulph Mills	State	PA	Zip	19408		
Country	USA	Telephone	610-278-4926	Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Hans-Peter	Middle Initial		Family Name	KOHLSTADT	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Velbert	State		Country	Germany	Citizenship	Germany
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Post Office Address	Virchowstrasse 16a						
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Post Office Address							
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City	42549 Velbert	State		Zip		Country	Germany	Applicant Authority	
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

(+ inside this box +)

H 4186 PCT/US

DECLARATION				ADDITIONAL INVENTOR(S) Suppl mental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Andrew	Middle Initial		Family Name	NIXON	Suffix e.g. Jr.	
Inventor's Signature	<i>Andrew Nixon</i>				Date	26.02.2002	
Residence: City	Meerbusch	State		Country	Germany	Citizenship	United Kingdom
Post Office Address	Azaleenweg 28						
Post Office Address							
City	40670 Meerbusch	State		Zip		Country	Germany
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
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Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Additional inventors are being named on supplemental sheet(s) attached hereto				<input type="checkbox"/>			